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05-30-01

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PTO/SB/05 (11-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

|                        |  |
|------------------------|--|
| Attorney Docket No.    | 8569   |
| First Inventor         | Larry Richard Robinson et al.                                  |
| Assignee               | The Procter & Gamble Company                                   |
| Title                  | Methods of Enhancing Delivery of Oil Soluble Skin Care Actives |
| Express Mail Label No. | EK991717376US  |

**APPLICATION ELEMENTS**  
See MPEP Chapter 600 concerning utility patent application contents.

ADDRESS TO: **Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231**

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status  
(see 37 CFR §1.27)
3. ☒ Specification Total Pages [39 + abstract]  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☐ Drawing(s) (35 USC §113) Total Sheets ☐
5. Oath or Declaration Total pages ☐ 2]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR §1.63(d))  
(for continuation/divisional with Box 18 complete)
  - i. ☐ **DELETION OF INVENTORS**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR §1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

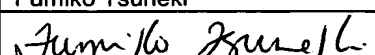
9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in the preliminary amendment, or in an Application Data Sheet under 37 CFR §1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. /  
Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_  
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

☒ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here 27740)

|                   |   |                                   |              |
|-------------------|---|-----------------------------------|--------------|
| Name (Print/Type) | Fumiko Tsuneki  | Registration No. (Attorney/Agent) | 40,512       |
| Signature         |  | Date                              | May 29, 2001 |

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

## Complete if Known

|                      |                               |
|----------------------|-------------------------------|
| Application Number   |                               |
| Confirmation Number  |                               |
| Filing Date          | May 29, 2001                  |
| First Named Inventor | Larry Richard Robinson et al. |
| Examiner Name        |                               |
| Group/Art Unit       |                               |
| Attorney Docket No.  | 8569                          |

TOTAL AMOUNT OF PAYMENT (\$988.00)

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 16-2480

Deposit Account Name The Procter & Gamble Company

☒ Charge Any Additional Fee Required Under status. See 37 CFR §127  
37 C.F.R. §§1.16 and 1.17

## FEE CALCULATION

### 1. BASIC FILING FEE - Large Entity

| Code (\$) | Fee Description        | Fee Paid |
|-----------|------------------------|----------|
| 101 710   | Utility filing fee     | [710.00] |
| 106 320   | Design filing fee      | []       |
| 107 490   | Plant filing fee       | []       |
| 108 710   | Reissue filing fee     | []       |
| 114 150   | Provisional filing fee | []       |

SUBTOTAL (1) (\$)[710.00]

### 2. EXTRA CLAIM FEES - Large Entity

|                    | Extra              | Below | Fee             |
|--------------------|--------------------|-------|-----------------|
|                    | Claims             | Fee   | Paid            |
| Total Claims       | [31] - 20** = [11] | x     | [18] = [198.00] |
| Independent Claims | [4] - 3** = [1]    | x     | [80] = [80.00]  |
| Multiple Dependent |                    |       | [] = []         |

\*\* or number previously paid, if greater; For Reissues, see below

| Code (\$) | Fee Description   |
|-----------|---|
| 103 18    | Claims in excess of 20                                  |
| 102 80    | Independent claims in excess of 3                       |
| 104 270   | Multiple dependent claim, if not paid                   |
| 109 80    | **Reissue independent claims over original patent       |
| 110 18    | **Reissue claims in excess of 20 & over original patent |

SUBTOTAL (2) (\$)[278.00]

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Code (\$)  | Fee Description  | Fee Paid |
|------------|--|----------|
| 105 130    | Surcharge-late filing fee or oath                                | []       |
| 127 50     | Surcharge-late provisional filing fee or cover sheet             | []       |
| 139 130    | Non-English specification  | []       |
| 147 2,520  | For filing a request for <i>ex parte</i> reexamination           | []       |
| 112 920*   | Requesting publication of SIR prior to Examiner's action         | []       |
| 113 1,840* | Requesting publication of SIR after Examiner's action            | []       |
| 115 110    | Extension for reply within 1 <sup>st</sup> month                 | []       |
| 116 390    | Extension for reply within 2 <sup>nd</sup> month                 | []       |
| 117 890    | Extension for reply within 3 <sup>rd</sup> month                 | []       |
| 118 1,390  | Extension for reply within 4 <sup>th</sup> month                 | []       |
| 128 1,890  | Extension for reply within 5 <sup>th</sup> month                 | []       |
| 119 310    | Notice of Appeal   | []       |
| 120 310    | Filing a brief in support of an appeal                           | []       |
| 121 270    | Request for oral hearing   | []       |
| 138 1,510  | Petition to institute a public use proceeding                    | []       |
| 140 110    | Petition to revive - unavoidable                                 | []       |
| 141 1,240  | Petition to revive - unintentional                               | []       |
| 142 1,240  | Utility issue fee (or reissue)                                   | []       |
| 143 440    | Design issue fee   | []       |
| 144 600    | Plant issue fee  | []       |
| 122 130    | Petitions to the Commissioner                                    | []       |
| 123 50     | Petitions related to provisional applications                    | []       |
| 126 240    | Submission of IDS per property (times number of properties)      | []       |
| 146 710    | Filing a submission after final rejection (37 CFR § 1.129(a))    | []       |
| 149 710    | For each additional invention to be examined (37 CFR § 1.129(b)) | []       |
| 179 710    | Request for Continued Examination (RCE)                          | []       |
| 169 710    | Request for expedited examination of a design application        | []       |
|            | Other fee (specify) _____  | []       |
|            | Other fee (specify) _____  | []       |

\* Reduced by Basic Filing Fee Paid SUBTOTAL(3) (\$) []

## SUBMITTED BY

## Complete (if applicable)

|                   |                       |                                   |              |           |                |
|-------------------|-----------------------|-----------------------------------|--------------|-----------|----------------|
| Name (Print/Type) | Fumiko Tsuneki        | Registration No. (Attorney/Agent) | 40,512       | Telephone | (513) 626-1208 |
| Signature         | <i>Fumiko Tsuneki</i> | Date                              | May 29, 2001 |           |                |

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